

# Introduction to Category Theory

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# Informal Description

- "Generalized mathematical theory of **structures**"
- Goal: "reveal the **universal properties** of structures via their **relationships**"
- Emphasis on relationships rather than on objects
- **Uniform treatment** of the notion of structure
- Provides new **foundations** to mathematics (debated)
- Many applications in mathematics, mathematical physics, computer science...

# Definition

A category is given by

- Collection of objects
- For each pair of objects  $a, b$ , collection of morphisms (or arrows)  $Mor(a, b)$
- Composition:  $Mor(a, b) \times Mor(b, c) \rightarrow Mor(a, c)$
- Identity:  $id_a \in Mor(a, a)$

## Axioms

- Associativity of composition:  $f \circ (g \circ h) = (f \circ g) \circ h$
- Identity:  $(id_a \circ f) = (f \circ id_b) = f$

# Examples (I)

- Sets with functions
- Groups with group homomorphisms
- Topological spaces with continuous maps
- Vector spaces with linear maps
- Differentiable manifolds with smooth maps

→ Typical: objects are structured sets and morphisms are structure preserving maps

# Examples (II)

But categories are more general than this:

- Preordered set: elements and comparisons
- Group: 1 object and morphisms are group elements
- Directed graph: objects are vertices and morphisms are paths

# Universality (I)

Unification of mathematical structures: factorization of recurring constructions.

## Product

- Given two objects  $a, b$  in  $C$
- The product is the triple  $(c, p, q)$
- $c$  object of  $C$  (representing the "cartesian product  $a \times b$ ")
- $p : c \rightarrow a$  and  $q : c \rightarrow b$  morphisms (representing "projections")
- Universality property: for all  $d \in C$  and  $f : d \rightarrow a, g : d \rightarrow b, \exists!$  morphism  $h : d \rightarrow c$  s.t.  $p \circ h = f$  and  $q \circ h = g$

# Examples

- Sets: cartesian product
  - Groups: product of groups (with appropriate group structure)
  - Preordered set: least upper bound
  - **Exercise**: product in a graph ?
- Because emphasis on morphisms, structures are automatically transported to products
- Notion of **smallest** product included in the universality property

# Diagrams

- Convenient way of representing statements
  - Commutation means equality of paths
  - Example: axioms of categories (associativity, unity), product
- See whiteboard !



# Relationships: Functors

Unification of mathematical structures: study of **relationships between structures**

- A functor is a *morphism* of categories (preserves structure)
- $F : C \rightarrow D$  maps objects of  $C$  to objects of  $D$  and morphisms to morphisms
- $f : c \rightarrow c'$  is mapped to  $F(f) : F(c) \rightarrow F(c')$
- $F(id_c) = id_{F(c)}$
- $F(f \circ g) = F(f) \circ F(g)$

# Examples

- Power set functor  $P : Set \rightarrow Set$ 
  - ★ Maps  $X$  to  $P(X)$  set of subsets of  $X$
  - ★ Maps  $f : X \rightarrow Y$  to  $P(f) : S \subset X \mapsto f(S) \subset Y$
- Linear group of invertible matrices  $GL_n : CRing \rightarrow Grp$
- Homotopy group  $Top \rightarrow Grp$
- Forgetful  $Grp \rightarrow Set$

# Relationships: Natural transformations

Relationships between functors  $F, G : C \rightarrow D$  (morphism of functors)

- For each  $c \in C$ , consider a morphism  $h_c : F(c) \rightarrow G(c)$
- Commutativity condition:  $f : c \rightarrow d, G(f) \circ h_c = h_d \circ F(f)$

→ Diagram on whiteboard

# Examples

- Determinant (morphism from complex to real rings,  $F$  is  $GL_n$  and  $G$  is group of invertible elements)
- Identity to power set

# Limits

- More general than products
  - Given categories  $C, J$  ( $J$  is the index set) and functor  $F : J \rightarrow C$  (defines which objects are used in the "product")
  - Define limit object  $r \in C$
  - For each  $c \in C, j \in J$ , a morphism  $h_{cj} : c \rightarrow F(j)$
  - Universality property
- Product are a special case with  $J = \{1, 2\}$

# Adjoint Functors

- More fundamental than limits, **cornerstone** of the theory
- Categories  $C, D$  and functors  $F : C \rightarrow D, G : D \rightarrow C$
- In addition, a map  $\phi : Ob(C) \times Ob(D) \rightarrow$  bijections of morphisms  
 $Mor_D(F(c), d) \equiv Mor_C(c, G(d))$
- Commutativity property

# Examples

- $Set, Vect, F$  free vector space,  $G$  forgetful functor,  $\phi(S, V)$  bijection between linear maps  $F(S) \rightarrow V$  and maps  $S \rightarrow G(V)$
- $C \times C, C, F$  product functor,  $G$  diagonal functor ( $c \mapsto (c, c)$ )
- $C^J, C, F$  limit functor,  $G$  diagonal functor
- $\{1\}, C, F$  terminal object,  $G$  diagonal functor

# Relationship with Set Theory

- In set theory, identify **equal** elements
- In CT, identify **isomorphic** elements
- In CT, **classes** of objects need not be sets
- Category of all sets is defined even if there is no set of all sets



# Higher Dimensional

Climb the category theoretic **ladder** to investigate more relationships  
Start with notion of **cells** (diagram)

- 0-cells: points
- 1-cells: arrows
- 2-cells: arrows between arrows
- 3-cells: ...

# $n$ -categories

- 0-categories: sets
- 1-categories: standard categories
- 2-categories: e.g. Cat with categories, functors and natural transformations
- More general example: topological spaces and paths, maps between paths...

# Axioms

- Appropriate properties for cell relationships (iteratively using definition of categories)
- In 2-categories: e.g. horizontal and vertical composition with  $(\alpha\alpha') \otimes (\beta\beta') = (\alpha \otimes \beta)(\alpha' \otimes \beta')$
- Replace equality by isomorphism: weak  $n$ -categories (more interesting) but need **coherence** relationships
- Unfortunately, combinatorial effects (which equalities to weaken ?) prevents from easily finding general definition

→ Major topic of research in foundations of mathematics, mathematical physics (topological quantum field theory) and **philosophy**